

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Americold Realty Trust Common Shares (COLD) [ST] Filing Status: New Subholding Of: Neuberger Berman - B		03/25/2020	03/31/2020	\$1,001 - \$15,000	
	SP	Ecolab Inc. (ECL) [ST] Filing Status: New Subholding Of: Neuberger Berman - E	S eneficiary IRA	03/23/2020	03/30/2020	\$251.68	
	SP	NextEra Energy, Inc. (NEE) [ST] Filing Status: New Subholding Of: Neuberger Berman - E		03/24/2020	03/31/2020	\$405.32	Π
	SP	NextEra Energy, Inc. (NEE) [ST] Filing Status: New Subholding Of: Neuberger Berman - E		03/20/2020	03/27/2020	\$820.31	

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

○ Yes ◎ No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/31/2020