PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Americold Realty Trust Common Shares (COLD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P Traditional IRA	03/24/2020	03/31/2020	\$1,001 - \$15,000	
	SP	Ecolab Inc. (ECL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	03/23/2020	03/30/2020	\$1,001 - \$15,000	
	SP	NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P Traditional IRA	03/20/2020	03/27/2020	\$15,001 - \$50,000	
	SP	NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	03/24/2020	03/31/2020	\$1,001 - \$15,000	П

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS



CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 03/31/2020