Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member **State/District:** CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|----------|---------------------------|
| | SP | Service Corporation International (SCI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Bo | | 03/13/2020 | 03/19/2020 | \$47.11 | |
| | SP | Vivint Solar, Inc. (VSLR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Bo | P eneficiary IRA | 03/16/2020 | 03/21/2020 | \$215.73 | П |
| | SP | Waste Connections, Inc. Common Shares (WCN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Bo | | 03/16/2020 | 03/21/2020 | \$163.76 | |

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/22/2020