



Filing ID #20016281

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount             | Cap. Gains > \$200?      |
|----|-------|---|------------------|------------|-------------------|--------------------|--------------------------|
| SP |       | Amazon.com, Inc. (AMZN) [ST]  | P                | 03/16/2020 | 03/21/2020        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA |                  |            |                   |                    |                          |
| SP |       | Service Corporation International (SCI) [ST]                            | S                | 03/13/2020 | 03/19/2020        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA |                  |            |                   |                    |                          |
| SP |       | Vivint Solar, Inc. (VSLR) [ST]  | P                | 03/16/2020 | 03/21/2020        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA |                  |            |                   |                    |                          |
| SP |       | Waste Connections, Inc. Common Shares (WCN) [ST]                        | P                | 03/16/2020 | 03/21/2020        | \$1,001 - \$15,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA |                  |            |                   |                    |                          |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/22/2020