Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - In		03/16/2020	03/20/2020	\$1,001 - \$15,000	
	SP	Waste Connections, Inc. Common Shares (WCN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - In		03/16/2020	03/20/2020	\$1,001 - \$15,000	П

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx.}}$

ASSET CLASS DETAILS

Neuberger Berman - Individual (Owner: SP)
 Location: US

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 03/22/2020