



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	03/04/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	03/05/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	02/19/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>
SP		Global Payments Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 2.65% interest, maturity date is 2/15/2025	P	02/28/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>
SP		Oracle Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 2.625% interest, maturity date is 2/15/2023	P	02/27/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>
SP		Paypal Holdings Inc [CS]	P	02/27/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 2.40% interest, maturity date is 10/1/2024					
SP		ProShares Short S&P500 (SH) [ST]	P	02/27/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/27/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/25/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/26/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		T-Mobile US, Inc. (TMUS) [ST]	P	03/04/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	02/18/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	02/20/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	03/06/2020	03/09/2020	\$879.89	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Vivint Solar, Inc. (VSLR) [ST]	S	02/20/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Neuberger Berman - Traditional IRA							
SP	Zoetis Inc	[CS]	P	02/27/2020	03/09/2020	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 3.25% interest, maturity date is 2/1/2023							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/11/2020