



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Boeing Company (BA) [ST]	S (partial)	03/05/2020	03/09/2020	\$525.99	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Cisco Systems, Inc. (CSCO) [ST]	S	02/19/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		ProShares Short S&P500 (SH) [ST]	P	02/27/2020	03/09/2020	\$354.20	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/25/2020	03/09/2020	\$84.48	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/26/2020	03/09/2020	\$84.39	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		Sunrun Inc. (RUN) [ST]	S (partial)	02/27/2020	03/09/2020	\$104.25	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP		T-Mobile US, Inc. (TMUS) [ST]	P	03/04/2020	03/09/2020	\$369.93	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	03/06/2020	03/09/2020	\$43.99	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	02/20/2020	03/09/2020	\$160.94	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]	S (partial)	02/18/2020	03/09/2020	\$190.89	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]	S (partial)	02/20/2020	03/09/2020	\$768.29	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/10/2020