



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	P	03/04/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S	02/21/2020	03/09/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		ProShares Short S&P500 (SH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	P	02/21/2020	03/09/2020	\$975.51	<input type="checkbox"/>
SP		ProShares Short S&P500 (SH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	P	02/27/2020	03/09/2020	\$480.70	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/10/2020