



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	3M Company (MMM) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	A.O. Smith Corporation (AOS) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Abbott Laboratories (ABT) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	ABIOMED, Inc. (ABMD) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Aercap Holdings NV VAR Rt 10/10/2079 dtd 10/10/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Alexion Pharmaceuticals, Inc. (ALXN) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	Alliance Data Systems Corporation (ADS) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	AT&T Inc PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	02/12/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Baxter International Inc. (BAX) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	02/27/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	CenterPoint Energy, Inc (CNP) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/14/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/26/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/27/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	02/24/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comerica Incorporated (CMA) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Corning Incorporated (GLW) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Discover Finl Svs Var Rt Perp Mat dtd 10/31/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/26/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Dollar Tree, Inc. (DLTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	DTE Energy Company (DTE) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	DuPont de Nemours, Inc. (DD) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	DXC Technology Company (DXC) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Eversource Energy (ES) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Expeditors International of Washington, Inc. (EXPD) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Ford Motor Company (F) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Fox Corporation - Class A (FOXA) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Goldman Sachs Group, Inc Var Rt 11/10/2165 dtd 04/23/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/12/2020	03/06/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	H&R Block, Inc. (HRB) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	HD Supply Holdings, Inc. (HDS) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Honeywell International Inc. (HON) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Internationa Flavors & Fragrances, Inc. (IFF) [ST]	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Iron Mountain Incorporated (IRM) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	J.M. Smucker Company (SJM) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	JP Morgan Chase & Co. (JPM) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Kohl's Corporation (KSS) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	P	02/13/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	P	02/14/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

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	SUBHOLDING OF: - Trust 1					
	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	02/21/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Lake Travis TX Indep Sch Dist Perm Sch Gtd Fund Unlimited Tax 5% Feb [GS] FILING STATUS: New	S	02/18/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Loews Corporation (L) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Macy's Inc (M) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Masco Corporation (MAS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Newell Brands Inc. (NWL) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Northern Trust Corporation (NTRS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Northern Trust Corporation (NTRS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Nutrien Ltd. Common Shares (NTR) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Omnicom Group Inc. (OMC) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	PACCAR Inc. (PCAR) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Pepsico, Inc. (PEP) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Philip Morris International Inc (PM) [ST]	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PPG Industries, Inc. (PPG) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Quest Diagnostics Incorporated (DGX) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Schlumberger N.V. (SLB) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Simon Property Group, Inc. (SPG) [ST]	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Smith & Nephew Plc Ord Ordinary Shares (SNNUF) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Southwest Airlines Company (LUV) [ST]	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Southwest Airlines Company (LUV) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	02/24/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	The Kraft Heinz Company (KHC) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Truist Fin Corp Var Rt Perp Mat dtd 12/09/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/19/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Truist Fin Corp Var Rt Perp Mat dtd 12/09/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	02/19/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	United Parcel Service, Inc. (UPS) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	02/10/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Ventas, Inc. (VTR) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Viacom Inc Var Rt 02/28/2057 dtd 02/28/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	02/25/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Viacom Inc Var Rt 02/28/2057 dtd 02/28/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	ViacomCBS Inc. - Class B (VIAC) [ST] FILING STATUS: New	P	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wachovia Cap TR III Var Rt Perp Mat dtd 02/01/2006 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/20/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Wachovia Cap TR III Var Rt Perp Mat dtd 02/01/2006 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	02/21/2020	03/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Walgreens Boots Alliance, Inc. (WBA) [ST] FILING STATUS: New	S	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	P	02/21/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	P	02/20/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC)	P	02/21/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	[ST] FILING STATUS: New					
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	P	02/24/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Westrock Company (WRK) [ST] FILING STATUS: New	S (partial)	02/28/2020	03/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wichita KS Water & Sewer Util Rev Ref- Ser B 5.0%; Due 10/01/2024 [GS] FILING STATUS: New	P	02/19/2020	03/06/2020	\$15,001 - \$50,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 03/12/2020