



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Dean Phillips

**Status:** Member

**State/District:** MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/07/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/27/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/28/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New	S (partial)	01/17/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/07/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/07/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Eaton Corporation, PLC Ordinary	P	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Eaton Corporation, PLC	Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/07/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC	Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC	Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/10/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Goldman Sachs Group Inc.	Var Rt Perp Mat dtd 01/28/2020 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	01/23/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM)	[ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/27/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	JP Morgan Chase & Co. (JPM)	[ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/28/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/07/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>	
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>	

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	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Northern Trust Corporation (NTRS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/14/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Northern Trust Corporation (NTRS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/15/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/10/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	PPG Industries, Inc. (PPG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	PPG Industries, Inc. (PPG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Quest Diagnostics Incorporated (DGX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/17/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Southwest Airlines Company (LUV) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Southwest Airlines Company (LUV) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/09/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Stanley Black & Decker, Inc. (SWK) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Stanley Black & Decker, Inc. (SWK) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/10/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Stanley Black & Decker, Inc. (SWK) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	01/13/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Synchrony Financial (SYF) [ST] FILING STATUS: New	P	01/22/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/15/2020	02/05/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	S (partial)	01/15/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company (WFC) [ST] FILING STATUS: New	P	01/15/2020	02/05/2020	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dean Phillips , 02/07/2020