



Filing ID #20014994

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. K. Michael Conaway

Status: Member

State/District: TX11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		BANK NOVA SCOTIA B oEDP C ACLRTD RTRN NT LKD S&P 500 IDX DUE 12/20/2019 CPN 0.000% [OT] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial) LOCATION: US DESCRIPTION: BANK NOVA SCOTIA B oEDP C ACLRTD RTRN NT LKD S&P 500 IDX DUE 12/20/2019 CPN 0.000%	S	12/20/2019	12/23/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
		CREDIT SUISSE AG 0% EDP LONDON BRH LKD TO EURO STOXX 50 INDEX 1/30/2020 CPN 0.000% DUE 11/30/20 [OT] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial) LOCATION: US DESCRIPTION: CREDIT SUISSE AG 0% EDP LONDON BRH LKD TO EURO STOXX 50 INDEX 1/30/2020 CPN 0.000% DUE 11/30/20	S	12/12/2019	12/16/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
		Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	P	12/10/2019	12/13/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	P	12/03/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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		Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	P	12/03/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Invesco Ltd (IVZ) [ST]		S	12/12/2019	12/16/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones)					
SP	JPMORGAN FEDERAL MONEY MARKET FUND [OT]		P	12/30/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: SP E48 (Edward Jones) LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND					
SP	JPMORGAN FEDERAL MONEY MARKET FUND [OT]		P	12/23/2019	12/24/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: SP E48 (Edward Jones) LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND					
		SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	S	12/03/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST] FILING STATUS: New SUBHOLDING OF: WF 25 (Wells Fargo Financial)	S	12/03/2019	12/06/2019	\$50,001 - \$100,000	<input type="checkbox"/>
		SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	S (partial)	12/10/2019	12/13/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
		SPDR S&P Dividend ETF (SDY) [ST] FILING STATUS: New SUBHOLDING OF: WF 25 (Wells Fargo Financial)	P	12/03/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Vanguard Div Appreciation ETF (VIG) [ST] FILING STATUS: New SUBHOLDING OF: WF 25 (Wells Fargo Financial)	P	12/03/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Vanguard Div Appreciation ETF (VIG) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	P	12/03/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Vanguard Utilities ETF (VPU) [ST] FILING STATUS: New SUBHOLDING OF: WF 95 (Wells Fargo Financial)	S	12/10/2019	12/13/2019	\$15,001 - \$50,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o SP E48 (Edward Jones) (Owner: SP)
LOCATION: US
DESCRIPTION: Managed Account – Not self-directed
- o SP E62 (Edward Jones) (Owner: SP)
LOCATION: US
- o WF 25 (Wells Fargo Financial)
LOCATION: US
- o WF 95 (Wells Fargo Financial)
LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 02/07/2020