



Filing ID #20013919

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. John B. Larson**Status:** Member**State/District:** CT01

## TRANSACTIONS

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount              | Cap. Gains > \$200?                 |
|----|-------|---|------------------|------------|-------------------|---------------------|-------------------------------------|
|    |       | Adobe Inc. (ADBE) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Alcoa Inc [CS]  | S                | 12/18/2019 | 01/03/2020        | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA<br>DESCRIPTION: 5.4% Due 04-15-2021 |                  |            |                   |                     |                                     |
|    |       | Allergan Inc [CS]   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input type="checkbox"/>            |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA<br>DESCRIPTION: 3.375% Due 09-15-20 |                  |            |                   |                     |                                     |
|    |       | Amphenol Corporation (APH) [ST]   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Apple Inc. (AAPL) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Autozone Inc Note Call [CS]   | S                | 12/18/2019 | 01/03/2020        | \$15,001 - \$50,000 | <input type="checkbox"/>            |
|    |       | FILING STATUS: New  |                  |            |                   |                     |                                     |

| ID | Owner | Asset   | Transaction Type | Date       | Notification Date | Amount              | Cap. Gains > \$200?                 |
|----|-------|---|------------------|------------|-------------------|---------------------|-------------------------------------|
|    |       | SUBHOLDING OF: Fidelity Investments SEP IRA<br>DESCRIPTION: 2.875% Due 01-15-23                       |                  |            |                   |                     |                                     |
|    |       | Constellation Brands Inc Note [CS]  | S                | 12/18/2019 | 01/03/2020        | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA<br>DESCRIPTION: 2.650% Due 11-07-22 |                  |            |                   |                     |                                     |
|    |       | Constellation Brands, Inc. (STZ) [ST]   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Costco Wholesale Corporation (COST) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Danaher Corporation (DHR) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Enbridge Inc (ENB) [ST]   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Fortive Corporation (FTV) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Home Depot, Inc. (HD) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | Illumina, Inc. (ILMN) [ST]  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |
|    |       | IQVIA Holdings, Inc. (IQV) [ST]   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                     |                  |            |                   |                     |                                     |

| ID | Owner Asset   | Transaction Type | Date       | Notification Date | Amount              | Cap. Gains > \$200?                 |
|----|---|------------------|------------|-------------------|---------------------|-------------------------------------|
|    | JP Morgan Chase & Co. (JPM) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Kinder Morgan, Inc. (KMI) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Mastercard Incorporated (MA) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | McDonald's Corporation (MCD) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Mettler-Toledo International, Inc. (MTD) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                                | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Microsoft Corporation (MSFT) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA  | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Mondelez International, Inc. - Class A (MDLZ) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                           | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
|    | Omnicom Group Inc [CS]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA<br>DESCRIPTION: 3.650% Due 11-01-24                   | S                | 12/18/2019 | 01/03/2020        | \$15,001 - \$50,000 | <input type="checkbox"/>            |

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|----|--|------------------|------------|-------------------|--------------------|-------------------------------------|
|    | People's United Financial, Inc. (PBCT) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA             | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input type="checkbox"/>            |
|    | RBC Bearings Incorporated (ROLL) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                   | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | SS&C Technologies Holdings, Inc. (SSNC) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA            | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Starbucks Corporation (SBUX) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                       | S                | 12/18/2020 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | TC Energy Corporation (TRP) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                        | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Thermo Fisher Scientific Inc (TMO) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                 | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | United Technologies Corporation (UTX) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA              | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | UnitedHealth Group Incorporated Common Stock (UNH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
|    | Weyerhaeuser Company (WY) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA                          | S                | 12/18/2019 | 01/03/2020        | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

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|----|---|------------------|------------|-------------------|---------------------|-------------------------------------|
|    | Zoetis Inc. Class A (ZTS) [ST]                                    | S                | 12/18/2019 | 01/03/2020        | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
|    | FILING STATUS: New<br>SUBHOLDING OF: Fidelity Investments SEP IRA |                  |            |                   |                     |                                     |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Fidelity Investments SEP IRA

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John B. Larson , 01/31/2020