



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	CitiGroup Inc Var Rt 05/15/2166 dtd 11/13/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/02/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/26/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/27/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/30/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Dominion Energy Inc Var Rt Perp Mat dtd 12/13/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	12/02/2019	01/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New	P	12/02/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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SUBHOLDING OF: - Trust 1						
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/03/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	HI St Prerefunded - Ser DZ Unlimited Tax 5% 12/01/2019 dtd 12/07/2011 [GS] FILING STATUS: New	S	12/02/2019	01/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	State Street Corp Var Rt 09/15/2165 dtd 05/21/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/02/2019	01/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	SVB Financial Group PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	12/02/2019	01/07/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Three Rivers MN PK Dist Ser A Unlimited Tax 5.0% 02/01/2025 dtd 12/12/2019 [GS] FILING STATUS: New	P	12/03/2019	01/07/2020	\$15,001 - \$50,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 01/09/2020