



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Josh Gottheimer**Status:** Member**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	ABIOMED, Inc. (ABMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alibaba Group Holding Limited American Depository Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	12/06/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Baidu, Inc. - American Depository Shares, each representing one tenth Class A ordinary share (BIDU) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	BioMarin Pharmaceutical Inc. (BMRN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	12/11/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
JT	BioMarin Pharmaceutical Inc. (BMRN) [ST]	P	12/16/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Booking Holdings Inc. (BKNG) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Carnival Corporation (CCL) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Central Garden & Pet Company (CENT) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	China Mobile Limited (CHL) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Compass Minerals Intl Inc (CMP) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	P	12/30/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Dentsu Inc Tokyo Unsponsored ADR (DNTUY) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Dril-Quip, Inc. (DRQ) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Exact Sciences Corporation (EXAS) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Franklin Resources, Inc. (BEN) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Infineon Technologies AG (IFNNY) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Intercontinental Exchange Inc. (ICE) [ST]	S	12/16/2019	01/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Komatsu Ltd Ord American Depository Shares (KMTUY) [ST]	S (partial)	12/30/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Matador Resources Company (MTDR) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Primoris Services Corporation (PRIM) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Ryman Hospitality Properties, Inc. (RHP) [ST]	P	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	S&P Global Inc. (SPGI) [ST]	S (partial)	12/23/2019	01/06/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		Sarepta Therapeutics, Inc. (SRPT) [ST]	P	12/13/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		Sasol Ltd. American Depositary Shares (SSL) [ST]	S	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		Schlumberger N.V. (SLB) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		SS&C Technologies Holdings, Inc. (SSNC) [ST]	P	12/16/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		Unicharm Corp Sponsored ADR (UNICY) [ST]	P	12/30/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		United Bankshares, Inc. (UBSI) [ST]	S (partial)	12/10/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							
JT		Veracyte, Inc. (VCYT) [ST]	P	12/06/2019	01/06/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 01/06/2020