

LPL Financial
 Member FINRA/SIPC
 4707 Executive Drive, San Diego, CA 92121-3091
 75 State Street, 22nd Floor, Boston, MA 02109-1827
 (858) 587-5352


Trade Confirmation for 09/27/2019

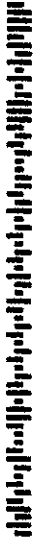
Account Number: xxxx-2190

Your Account Executive:

House Account
 9785 Towne Centre Dr.
 San Diego, CA 92121

For the Account of:

0006301 01 MB 0.425 01 TR 00020 LPCCDD01 5000 BMID: SHRD
 PTC CUST IRA FBO
 THEODORE S YOHO




MUTUAL FUNDS, ETFs, AND CLOSED-END FUNDS

Trade Date/ Bought/ Settlement Sold	Transaction Type	Account Type	Symbol	Cusip	Security Description	Quantity	Price	Principal	Transaction Charge	Service Charge	Sales Charge Rate	Settlement Fee	Net Amount
09/27/2019 Sell	06	I	BSJI	46133J403	INVESCO BULLETSHARES 2019 HIGH YIELD CORP BOND ETF	100	23.92	2,392.00	9.00	0.00	0%	0.05	\$2,382.95
09/27/2019 Sell	06	I	EEMV	464286533	ISHARES EDGE MSCI MIN VOLATILITY EMERGING MARKETS ETF	65	57.26	3,721.90	9.00	0.00	0%	0.08	\$3,712.82
09/27/2019 Sell	06	I	EPAV	46429B689	ISHARES EDGE MSCI MIN VOLATILITY EAFE ETF	50	73.53	3,676.50	9.00	0.00	0%	0.08	\$3,667.42
09/27/2019 Sell	06	I	FTTY	45782C102	INNOVATOR IBD 50 ETF	96	32.92	3,160.32	9.00	0.00	0%	0.07	\$3,151.25
09/27/2019 Sell	06	I	FTSL	33738D309	FIRST TRUST SENIOR LOAN FUND ETF	75	47.39	3,557.85	9.00	0.00	0%	0.08	\$3,548.77
09/27/2019 Sell	06	I	LCSTX	540132602	LOCCORR LONG SHORT COMMODITIES STRATEGIES CL I	307	9.66	2,971.20	0.00	0.00	0%	0.00	\$2,971.20

Execution Destination Available Upon Request

IF YOU DID NOT RECEIVE A PROSPECTUS, PLEASE REFERENCE BACK OF TRADE CONFIRMATION FOR INSTRUCTIONS

Trade Confirmation for 09/27/2019

Account Number: xxxx-2190

MUTUAL FUNDS, ETF, AND CLOSED-END FUNDS (cont.)

Trade Date/ Settlement Date	Bought/ Sold	Transaction Type	Account Type	Symbol	Cusip	Security Description	Quantity	Price	Principal	Transaction Change	Service Charge	Sales Charge Rate	Settlement Fee	Net Amount
09/27/2019 10/01/2019	Sell	06	1	SCHN	808524847	SCHWAB US RET ETF	100	47.34	4,734.00	9.00	0.00	0%	0.10	\$4,724.90
09/27/2019 10/01/2019	Sell	06	1	SCHP	808524870	SCHWAB US TRS ETF	100.747	56.79	5,721.42	9.00	0.00	0%	0.12	\$5,712.30
09/27/2019 10/01/2019	Sell	06	1	USMV	46429B697	ISHARES EDGE MSCI MN VOLATILITY ETF	75	64.33	4,824.75	9.00	0.00	0%	0.10	\$4,815.65
09/27/2019 10/01/2019	Sell	06	1	XLB	81369Y100	SECTOR MATERIALS SELECT SECTOR SPDR ETF	63	57.96	3,767.40	9.00	0.00	0%	0.08	\$3,758.32
09/27/2019 10/01/2019	Sell	06	1	XSLV	46138Q102	INVESCO R&P SMALLCAP LOW VOLATILITY ETF	80	49.19	3,935.20	9.00	0.00	0%	0.09	\$3,926.11

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