HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

NAME: Fred Upton

OFFICE TELEPHONE: 225-3761

☒ Member of the U.S. House of Representatives
State: MI District: 6
File an original and 2 copies

☐ Officer or Employee
Employing Office: _____
File an original and 1 copy

(For Official Use Only)

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ Yes ☒ No

If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

☒ Initial Report ☐ Amendment

Date of Report Being Amended: _____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

SP DC JT	FULL ASSET NAME (Provide full name, not ticker symbol.)	TYPE OF TRANSACTION: Purchase	Sale	Partial Sale	Exchange	DATE OF TRANSACTION (MM/DD/YY)	DATE NOTIFIED OF TRANSACTION (MM/DD/YY)	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
	These are JP Morgan Trust transactions not managed or controlled by me - I have no ownership in these holdings																	
JT	Example: Mega Corp. Common Stock		X			02/05/18	03/07/18		X									
	Whirlpool	☐	☒	☐	☐	12/18/19	12/18/19	☐	☐	☒	☐	☐	☐	☐	☐	☐	☐	☐
	Whirlpool	☐	☒	☐	☐	11/26/19	12/18/19	☐	☐	☒	☐	☐	☐	☐	☐	☐	☐	☐
	Celgene Corp	☐	☒	☐	☐	11/21/19	12/18/19	☒	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
	Bristol-Myers Squibb	☒	☐	☐	☐	11/21/19	12/18/19	☒	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

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	NiSource		X		11/14/19	12/18/19	X										
	Abbvie	X			11/13/19	12/18/19	X										

NOTE NUMBER	FILER NOTES (optional)