

# Periodic Transaction Report

**HAND DELIVERED**  
LEGISLATIVE RESOURCE CENTER

**NAME:**  
DAVID PHILLIP ROE

**OFFICE TELEPHONE:**  
423-929-7671

Please indicate whether this is an initial report or an amended report. amendments, please provide the date of the report you are amending.

Initial Report  Amendment  
Date of Report being Amended: \_\_\_\_\_

Member of the U.S. House of Representatives  
State: TN District: 01

Officer or Employee  
Employing Office: \_\_\_\_\_

File an original and 2 copies.

File an original and 1 copy.

Did you purchase any shares that were allocated as a part of an Initial Public Offering?  YES  NO

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION														
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J					
Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X													
PEPSICO INC GLB 02.750% MAR 05 2022 R/		X		10/29/19	11/4/19	X														
SOUTHERN CO GLB 02.950% JUL 01 2023 R		X		10/29/19	11/4/19	X														
CITIGROUP INC GLB 03.875% OCT 25 2023		X		10/29/19	11/4/19	X														
KINDER MORGAN ENER PART COMPANY G		X		10/29/19	11/4/19	X														
AMERICAN INTL GROUP GLB 04.125% FEB		X		10/29/19	11/4/19	X														

2019 NOV 14 AM 9:16  
OFFICE OF THE CLERK OF THE HOUSE OF REPRESENTATIVES  
ML

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION														
	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J					
JT Provide full name, not ticker symbol.				(MONTH/YR)	(MONTH/YR)															
ROYAL BANK OF CANADA SER GMTN GLB	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
THERMO FISHER SCIENTIFIC GLB 04.150%	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GOLDMAN SACHS GROUP INC GLB 02.600%	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ABBOTT LABORATORIES GLB 02.900% NO	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
WELLS FARGO & COMPANY GLB 02.100%	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
VISA INC GLB 02.800% DEC 14 2022 RATING	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
TOYOTA MOTOR CREDIT CORP SER MTN C	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

FOOTNOTE NUMBER

FILER NOTES (optional)


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	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J					
JT Provide full name, not ticker symbol.				(MOD/DA/YR)	(MOD/DA/YR)															
NORTHROP GRUMMAN CORP GLB 03.250%	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CVS HEALTH CORP GLB 03.700% MAR 09 2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
UNITED TECHNOLOGIES CORP GLB 03.950	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HOME DEPOT INC GLB 02.625% JUN 01 202	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHEVRON CORP GLB 02.100% MAY 16 202	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
UNITED TECHNOLOGIES CORP GLB 03.950	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
VERIZON COMMUNICATIONS COM	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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	PURCHASE	SALE	EXCHANGE			(MOD/AYR)	(MOD/AYR)	A	B	C	D	E	F	G	H	I	J					
JT Provide full name, not ticker symbol.																						
GENL DYNAMICS CORP UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
AUTOMATIC DATA PROCESSING INC 40846	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/18/19	11/4/19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWATCH GROUP AG ADR UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/18/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EXPEDITORS INTL WASH INC UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CRANE CO UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/14/19	11/4/19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PHILIP MORRIS INTL INC UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/8/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
TRACTOR SUPPLY COMPANY UNSOLICITED	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/2/19	11/4/19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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	PURCHASE	SALE	EXCHANGE			(MOD/AYR)	(MOD/AYR)	A	B	C	D	E	F	G	H	I
JT Provide full name, not ticker symbol.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(MOD/AYR)	(MOD/AYR)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
UNTD TECHNOLOGIES CORP	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/29/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PUBLIC SERVICE ENTERPRSE GROUP INC	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/16/19	11/4/19	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
WALT DISNEY CO (HOLDING CO) DISNEY C	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EATON CORP PLC UNSOLICITED	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/14/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ROYAL DUTCH SHELL PLC CL A SPON ADR	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/8/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
TARGET CORP UNSOLICITED	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/2/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DIAGEO PLC NEW GB SPON ADR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J					
JT Provide full name, not ticker symbol.				(MO/DAY/YR)	(MO/DAY/YR)															
LINDE PLC EUR DE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MEDTRONIC PLC DE	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DIAGEO PLC NEW GB SPON ADR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
LINDE PLC EUR DE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
MEDTRONIC PLC DE	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	10/15/19	11/4/19	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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