

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

HAND DELIVERED

NAME:
MICHAEL T. MCCAUL

OFFICE TELEPHONE:
202-225-2401

LEGISLATIVE RESOURCE CENTER
2019 NOV 14 AM 11:55

Member of the U.S. House of Representatives
State: TX District: 10

Officer or Employee
Employing Office:

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

File an original and 2 copies.

File an original and 1 copy.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? YES NO

Date of Report being Amended: Initial Report Amendment

(For Official Use Only)

MB

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION (MM/DD/YY)	DATE NOTIFIED OF TRANS-ACTION (MM/DD/YY)	AMOUNT OF TRANSACTION														
	PURCHASE	SALE	EXCHANGE			A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000					
JT SP DC Provide full name, not ticker symbol. Example: Mega Corp, Common Stock SEE SCHEDULES ATTACHED		X		01/11/12	01/11/12		X													

(This page will be publicly disclosed.)

NAME:

MICHAEL MCCAUL

SP JT SP DC	FULL ASSET NAME	Type of transaction			Date of Transaction	Date Notified of Transaction	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
	LLM FAMILY INVESTMENTS LP (1.7471% INTEREST)				(MMDDYY)	(MMDDYY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Amount over \$1,000,000
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	X			10/31/2019	11/5/2019											X
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	X			10/31/2019	11/5/2019											X
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	X			10/31/2019	11/5/2019											X
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	NETFLIX INC	X			10/21/2019	11/5/2019						X					
SP	NETFLIX INC	X			10/22/2019	11/5/2019					X						
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	X			10/31/2019	11/5/2019											X
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	X			10/31/2019	11/5/2019											X
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS	X			10/31/2019	11/5/2019											X
SP	SAN ANTONIO TEX ELEC & GAS REV	X			10-15-2019	11/5/2019						X					
SP	SOUTH ELGIN ILL	X			10-16-2019	11/5/2019						X					
SP	CALIFORNIA ST	X			10-30-2019	11/5/2019						X					
SP	BERKSHIRE HATHAWAY INC DEL	X			10-28-2019	11/5/2019										X	
SP	SCHWAB CHARLES CORP NEW	X			10-04-2019	11/5/2019										X	
SP	Kontoor Brands	X			10/02/2019	11/5/2019											X
SP	SOUTHWEST AIRLS CO	X			10-28-2019	11/5/2019											X
SP	NORTHERN TR CORP	X			10-28-2019	11/5/2019											X
SP	Kontoor Brands	X			10/25/2019	11/5/2019											X
SP	Kontoor Brands	X			10/03/2019	11/5/2019											X
SP	Kontoor Brands	X			10/04/2019	11/5/2019											X
SP	AMERIS BANCORP	X			10/11/2019	11/5/2019											X
SP	Kontoor Brands	X			10/08/2019	11/5/2019											X
SP	Kontoor Brands	X			10/10/2019	11/5/2019											X
SP	Kontoor Brands	X			10/09/2019	11/5/2019											X
SP	Kontoor Brands	X			10/11/2019	11/5/2019											X
SP	Kontoor Brands	X			10/07/2019	11/5/2019											X
SP	Kontoor Brands	X			10/24/2019	11/5/2019											X
SP	PC Connection	X			10/23/2019	11/5/2019											X

	FULL ASSET NAME	Type of transaction			Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Amount over \$1,000,000
SP	PC Connection	X			10/16/2019	11/5/2019	X										
SP	Kontour Brands	X			10/22/2019	11/5/2019	X										
SP	Kontour Brands	X			10/11/2019	11/5/2019	X										
SP	AMERIS BANCORP	X			10/16/2019	11/5/2019	X										
SP	PC Connection	X			10/16/2019	11/5/2019	X										
SP	Kontour Brands	X			10/23/2019	11/5/2019	X										
SP	PC Connection	X			10/10/2019	11/5/2019	X										
SP	PC Connection	X			10/24/2019	11/5/2019	X										
SP	Kontour Brands	X			10/15/2019	11/5/2019	X										
SP	PC Connection	X			10/29/2019	11/5/2019	X										
SP	PC Connection	X			10/11/2019	11/5/2019	X										
SP	PC Connection	X			10/22/2019	11/5/2019	X										
SP	PC Connection	X			10/09/2019	11/5/2019	X										
SP	PC Connection	X			10/07/2019	11/5/2019	X										
SP	PC Connection	X			10/08/2019	11/5/2019	X										
SP	PC Connection	X			10/25/2019	11/5/2019	X										
SP	AMERIS BANCORP	X			10/15/2019	11/5/2019	X										
SP	Alertus Financial	X			10/01/2019	11/5/2019	X										
SP	Alertus Financial	X			10/03/2019	11/5/2019	X										
SP	Alertus Financial	X			10/08/2019	11/5/2019	X										
SP	Alertus Financial	X			10/04/2019	11/5/2019	X										
SP	AMERIS BANCORP	X			10/16/2019	11/5/2019	X										
SP	PC Connection	X			10/15/2019	11/5/2019	X										
SP	AMERIS BANCORP	X			10/15/2019	11/5/2019	X										
SP	AMERIS BANCORP	X			10/11/2019	11/5/2019	X										
SP	PC Connection	X			10/03/2019	11/5/2019	X										
SP	PC Connection	X			10/04/2019	11/5/2019	X										
SP	PC Connection	X			10/16/2019	11/5/2019	X										
SP	PC Connection	X			10/22/2019	11/5/2019	X										
SP	Kontour Brands	X			10/30/2019	11/5/2019	X										
SP	Alertus Financial	X			10/02/2019	11/5/2019	X										
SP	Riviera Resources Inc		X		10/28/2019	11/5/2019	X										

Provide full name, not ticker symbol

JT SP DC	FULL ASSET NAME	Type of transaction			Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	Amount of Transaction															
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K					
SP	Riviera Resources Inc		X		10/30/2019	11/5/2019	X															
SP	Riviera Resources Inc		X		10/24/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/08/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/16/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/22/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/16/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/07/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/25/2019	11/5/2019	X															
SP	Riviera Resources Inc		X		10/16/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/29/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/30/2019	11/5/2019	X															
SP	Riviera Resources Inc		X		10/17/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/17/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/31/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/09/2019	11/5/2019	X															
SP	Smart Global Holdings Inc		X		10/02/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/22/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/03/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/04/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/23/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/21/2019	11/5/2019	X															
SP	Washington Federal Inc.		X		10/11/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/25/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/11/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/18/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/28/2019	11/5/2019	X															
SP	LORAL SPACE & COMMUNICATIONS		X		10/24/2019	11/5/2019	X															
SP	Riviera Resources Inc		X		10/22/2019	11/5/2019	X															
SP	Washington Federal Inc.		X		10/15/2019	11/5/2019	X															
SP	Riviera Resources Inc		X		10/15/2019	11/5/2019	X															
SP	Smart Global Holdings Inc		X		10/07/2019	11/5/2019	X															

Provide full name, not ticker symbol

	FULL ASSET NAME	Type of transaction			Date of Transaction (MM/DD/YYYY)	Date Notified of Transaction (MM/DD/YYYY)	Amount of Transaction																	
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K							
SP	National Bank Holdings		X		10/11/2019	11/5/2019	X																	
SP	LORAL SPACE & COMMUNICATIONS		X		10/15/2019	11/5/2019	X																	
SP	OREGON ST		X		10-01-2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/04/2019	11/5/2019	X																	
SP	Washington Federal Inc.		X		10/16/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/03/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/07/2019	11/5/2019	X																	
SP	LORAL SPACE & COMMUNICATIONS		X		10/10/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/02/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/01/2019	11/5/2019	X																	
SP	Washington Federal Inc.		X		10/11/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/09/2019	11/5/2019	X																	
SP	Smart Global Holdings Inc		X		10/08/2019	11/5/2019	X																	
SP	NUTRIEN LTD		X		10-29-2019	11/5/2019	X																	
SP	WASHINGTON ST UNIV REVS		X		10-01-2019	11/5/2019	X																	
SP	MICHIGAN ST HSG DEV AUTH RENTA		X		10-01-2019	11/5/2019	X																	
SP	BAY AREA TOLL AUTH CALIF TOLL		X		10-01-2019	11/5/2019	X																	
SP	METROPOLITAN TRANSN AUTH N Y D		X		10-31-2019	11/5/2019	X																	
DC	LLM FAMILY INVESTMENTS LP (13.3148% INTEREST)																							
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019																		X
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/31/2019	11/5/2019																		
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/31/2019	11/5/2019																		
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019																		
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/31/2019	11/5/2019																		
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019																		
DC	NETFLIX INC		X		10/31/2019	11/5/2019																		
DC	NETFLIX INC		X		10/21/2019	11/5/2019																		
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/21/2019	11/5/2019																		
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/21/2019	11/5/2019																		
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/21/2019	11/5/2019																		
DC	NETFLIX INC		X		10/22/2019	11/5/2019																		
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/21/2019	11/5/2019																		
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019																		
DC	SAN ANTONIO TEX ELEC & GAS REV		X		10-15-2019	11/5/2019																		

DC SP JT	FULL ASSET NAME	Type of transaction			Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	Amount of Transaction																
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K						
DC	SOUTH ELGIN ILL	X			10-16-2019	11/5/2019			X														
DC	CALIFORNIA ST	X			10-30-2019	11/5/2019			X														
DC	BERKSHIRE HATHAWAY INC DEL	X			10-28-2019	11/5/2019		X															
DC	SCHWAB CHARLES CORP NEW	X			10-04-2019	11/5/2019		X															
DC	Kontour Brands	X			10/02/2019	11/5/2019		X															
DC	SOUTHWEST AIRLS CO	X			10-28-2019	11/5/2019																	
DC	NORTHERN TR CORP	X			10-28-2019	11/5/2019																	
DC	Kontour Brands	X			10/25/2019	11/5/2019		X															
DC	Kontour Brands	X			10/03/2019	11/5/2019		X															
DC	Kontour Brands	X			10/04/2019	11/5/2019		X															
DC	AMERIS BANCORP	X			10/11/2019	11/5/2019		X															
DC	Kontour Brands	X			10/08/2019	11/5/2019		X															
DC	Kontour Brands	X			10/10/2019	11/5/2019		X															
DC	Kontour Brands	X			10/09/2019	11/5/2019		X															
DC	Kontour Brands	X			10/11/2019	11/5/2019		X															
DC	Kontour Brands	X			10/07/2019	11/5/2019		X															
DC	Kontour Brands	X			10/24/2019	11/5/2019		X															
DC	PC Connection	X			10/23/2019	11/5/2019		X															
DC	PC Connection	X			10/16/2019	11/5/2019		X															
DC	Kontour Brands	X			10/23/2019	11/5/2019		X															
DC	Kontour Brands	X			10/22/2019	11/5/2019		X															
DC	Kontour Brands	X			10/11/2019	11/5/2019		X															
DC	AMERIS BANCORP	X			10/16/2019	11/5/2019		X															
DC	PC Connection	X			10/16/2019	11/5/2019		X															
DC	Kontour Brands	X			10/23/2019	11/5/2019		X															
DC	PC Connection	X			10/10/2019	11/5/2019		X															
DC	PC Connection	X			10/24/2019	11/5/2019		X															
DC	Kontour Brands	X			10/15/2019	11/5/2019		X															
DC	PC Connection	X			10/29/2019	11/5/2019		X															
DC	PC Connection	X			10/11/2019	11/5/2019		X															
DC	PC Connection	X			10/22/2019	11/5/2019		X															
DC	PC Connection	X			10/08/2019	11/5/2019		X															
DC	PC Connection	X			10/07/2019	11/5/2019		X															

Provide full name, not ticker symbol

JT SP DC	FULL ASSET NAME	Type of transaction			Date of Transaction	Date Notified of Transaction	Amount of Transaction																		
		PURCHASE	SALE	EXCHANGE			(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J	K						
DC	PC Connection	X			10/08/2018	11/5/2019	X																		
DC	PC Connection	X			10/25/2018	11/5/2019	X																		
DC	AMERIS BANCORP	X			10/15/2018	11/5/2019	X																		
DC	Alerus Financial	X			10/01/2018	11/5/2019	X																		
DC	Alerus Financial	X			10/03/2018	11/5/2019	X																		
DC	Alerus Financial	X			10/08/2018	11/5/2019	X																		
DC	AMERIS BANCORP	X			10/04/2018	11/5/2019	X																		
DC	PC Connection	X			10/18/2018	11/5/2019	X																		
DC	AMERIS BANCORP	X			10/15/2018	11/5/2019	X																		
DC	AMERIS BANCORP	X			10/11/2018	11/5/2019	X																		
DC	PC Connection	X			10/31/2018	11/5/2019	X																		
DC	PC Connection	X			10/04/2018	11/5/2019	X																		
DC	PC Connection	X			10/18/2018	11/5/2019	X																		
DC	Kortdoor Brands	X			10/22/2018	11/5/2019	X																		
DC	PC Connection	X			10/20/2018	11/5/2019	X																		
DC	Alerus Financial	X			10/02/2018	11/5/2019	X																		
DC	Riviera Resources Inc		X		10/28/2018	11/5/2019	X																		
DC	Riviera Resources Inc		X		10/30/2018	11/5/2019	X																		
DC	Riviera Resources Inc		X		10/24/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/08/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/18/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/22/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/18/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/07/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/25/2018	11/5/2019	X																		
DC	Riviera Resources Inc		X		10/18/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/29/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/30/2018	11/5/2019	X																		
DC	Riviera Resources Inc		X		10/17/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/01/2018	11/5/2019	X																		
DC	LORAL SPACE & COMMUNICATIONS		X		10/17/2018	11/5/2019	X																		

DC SP JT	FULL ASSET NAME	Type of Transaction			Date of Transaction (MM/DD/YYYY)	Date Notified of Transaction (MM/DD/YYYY)	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Amount over \$1,000,000
DC	LORAL SPACE & COMMUNICATIONS	X			10/31/2018	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/09/2018	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/02/2018	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/22/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/03/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/04/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/23/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/21/2018	11/5/2019	X										
DC	Washington Federal Inc.		X		10/11/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/25/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/11/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/18/2018	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/28/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/24/2019	11/5/2019	X										
DC	Riviera Resources Inc		X		10/22/2019	11/5/2019	X										
DC	Washington Federal Inc.		X		10/15/2019	11/5/2019	X										
DC	Riviera Resources Inc		X		10/15/2018	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/07/2018	11/5/2019	X										
DC	OREGON ST		X		10-01-2019	11/5/2019	X										
DC	National Bank Holdings		X		10/11/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/15/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/04/2018	11/5/2019	X										
DC	Washington Federal Inc.		X		10/16/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/03/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/07/2019	11/5/2019	X										
DC	LORAL SPACE & COMMUNICATIONS		X		10/10/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/02/2018	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/01/2019	11/5/2019	X										
DC	Washington Federal Inc.		X		10/11/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/08/2019	11/5/2019	X										
DC	Smart Global Holdings Inc		X		10/08/2019	11/5/2019	X										
DC	NUTRIEN LTD		X		10-28-2019	11/5/2019		X									

NAME: MICHAEL MCCAUL

JT SP DC	FULL ASSET NAME	Type of transaction			Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	Amount of Transaction															
		PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K					
DC	WASHINGTON ST UNIV REVS		X		10-01-2019	11/5/2019		X														
DC	MICHIGAN ST HSG DEV AUTH RENTA		X		10-01-2019	11/5/2019			X													
DC	BAY AREA TOLL AUTH CALIF TOLL		X		10-01-2019	11/5/2019			X													
DC	METROPOLITAN TRANSN AUTH N Y D		X		10-31-2019	11/5/2019			X													
SP	LLM FAMILY INVESTMENTS II LP (5.8617% INTEREST)																					
SP	NEWELL BRANDS INC		X		10/10/2019	11/5/2019		X														
SP	VIACOM INC Class B		X		10/23/2019	11/5/2019		X														
SP	NEWELL BRANDS INC		X		10/08/2019	11/5/2019		X														
SP	Centurylink Inc		X		10/16/2019	11/5/2019		X														
SP	DAVITA HEALTHCARE PARTNERS INC		X		10/17/2019	11/5/2019		X														
SP	DAVITA HEALTHCARE PARTNERS INC		X		10/10/2019	11/5/2019		X														
SP	DAVITA HEALTHCARE PARTNERS INC		X		10/16/2019	11/5/2019		X														
SP	CBS Corporation		X		10/23/2019	11/5/2019		X														
SP	DAVITA HEALTHCARE PARTNERS INC		X		10/08/2019	11/5/2019		X														
SP	LLM Partners, Ltd.																					
SP	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/31/2019	11/5/2019					X											
SP	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019					X											
SP	Hulzenberg Venture Investments		X		10/14/2019	11/5/2019					X											
SP	Recurring Capital Fund II LP		X		10/25/2019	11/5/2019		X														
DC	LLM Partners, Ltd.																					
DC	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares		X		10/31/2019	11/5/2019					X											
DC	PIMCO SHORT ASSET INVESTMENT FUND INSTITUTIONAL CLASS		X		10/31/2019	11/5/2019					X											
DC	Hulzenberg Venture Investments		X		10/14/2019	11/5/2019					X											
DC	Recurring Capital Fund II LP		X		10/25/2019	11/5/2019		X														