

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status: | Member |
| State/District: | CA47 |

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------------|
| | SP | Amazon.com, Inc. (AMZN) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | P eneficiary IRA | 10/29/2019 | 12/06/2019 | \$1,001 - \$15,000 | Π |
| | SP | Waste Connections, Inc. Common Shares (WCN) [ST] Filing Status: New Subholding Of: Neuberger Berman - Be | | 12/10/2019 | 12/14/2019 | \$455.71 | F |

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 12/15/2019