

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:Hon. John W. RoseStatus:MemberState/District:TN06

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Alphabet Inc Class A (GOOGL) [ST] Filing Status: New Subholding Of: Raymond James Broke	S (partial) erage Account	11/12/2019	11/12/2019	\$100,001 - \$250,000	
		Alphabet Inc Class C Capital Stock (GOOG) [ST] Filing Status: New Subholding Of: Raymond James Broke	S (partial) erage Account	11/12/2019	11/12/2019	\$100,001 - \$250,000	
		Bank of America Corporation (BAC) [ST] Filing Status: New Subholding Of: Raymond James Broke	S erage Account	11/12/2019	11/12/2019	\$250,001 - \$500,000	
		Caterpillar, Inc. (CAT) [ST] Filing Status: New Subholding Of: Raymond James Broke	S erage Account	11/12/2019	11/12/2019	\$250,001 - \$500,000	
		Core Laboratories N.V. (CLB) [ST] Filing Status: New Subholding Of: Raymond James Broke		11/12/2019	11/12/2019	\$1,001 - \$15,000	V
		Microsoft Corporation (MSFT) [ST] Filing Status: New Subholding Of: Raymond James Broke	S (partial) erage Account	11/12/2019	11/12/2019	\$500,001 - \$1,000,000	V

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		Pinnacle Financial Partners, Inc. (PNFP) [ST] Filing Status: New Subholding Of: Raymond James Broke	S erage Account	11/12/2019	11/12/2019	\$100,001 - \$250,000	V
		Wells Fargo & Company (WFC) [ST] Filing Status: New Subholding Of: Raymond James Broke	S erage Account	11/12/2019	11/12/2019	\$100,001 - \$250,000	

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

Asset Class Details

• Raymond James Brokerage Account (100% Interest) LOCATION: Nashville, TN, US DESCRIPTION: John Williams Rose Brokerage Account.

INITIAL PUBLIC OFFERINGS

• Yes • No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John W. Rose , 12/11/2019