



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips**Status:** Member**State/District:** MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	ABIOMED, Inc. (ABMD) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Albemarle Corporation (ALB) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Arista Networks, Inc. (ANET) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Bank of America Corp Var Rt 09/15/2166 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S	11/07/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Baxter International Inc. (BAX) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	11/12/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New	S	11/21/2019	11/21/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New	P	11/21/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New DESCRIPTION: Bristol Myers (BMY) shares received in exchange for Celgene Corp (CELG) shares as a result of merger.	E	11/20/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Bristol-Myers Squibb Company (BMY) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	CenterPoint Energy, Inc (CNP) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cit Group Inc. PFD 5.625% [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	11/07/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	DuPont de Nemours, Inc. (DD) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	DXC Technology Company (DXC) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	11/25/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	eBay Inc. (EBAY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	11/01/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	eBay Inc. (EBAY) [ST]	S (partial)	11/04/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/05/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/06/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/08/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/12/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/14/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S (partial)	11/15/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	eBay Inc. (EBAY) [ST]	S	11/18/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	FedEx Corporation (FDX) [ST]	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Ford Motor Company (F) [ST]	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Fox Corporation - Class B (FOX) [ST]	S (partial)	11/01/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

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SUBHOLDING OF: - Trust 1						
	Gap, Inc. (GPS) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	HP Inc. (HPQ) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Internationa Flavors & Fragrances, Inc. (IFF) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	International Business Machines Corporation (IBM) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Kohl's Corporation (KSS) [ST] FILING STATUS: New	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Macy's Inc (M) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Molson Coors Brewing Company Class B (TAP) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Morgan Stanley Var Rt 07/15/2165 dtd 03/19/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	11/15/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Mosaic Company (MOS) [ST] FILING STATUS: New	S	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Philip Morris International Inc (PM) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	State Street Corporation (STT) [ST] FILING STATUS: New	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Synchrony Financial [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	11/06/2019	12/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	The Kraft Heinz Company (KHC) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New	S (partial)	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Unum Group (UNM) [ST] FILING STATUS: New	P	11/22/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Viacom Inc Var Rt 02/28/2057 dtd 02/28/2017 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	11/15/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Wachovia Cap TR III Var Rt Perp Mat dtd 02/01/2006 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	11/01/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company 7.98% PFD Stk 09/15/2165 dtd 02/08/2008 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S	11/01/2019	12/06/2019	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 12/11/2019