



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Josh Gottheimer

**Status:** Member

**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/26/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alphabet Inc. - Class C Capital Stock (GOOG) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/07/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alphabet Inc. - Class C Capital Stock (GOOG) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/05/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Amgen Inc. (AMGN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/07/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Amgen Inc. (AMGN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/20/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]  FILING STATUS: New	S (partial)	11/20/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Becton, Dickinson and Company (BDX) [ST]	S	11/07/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Booking Holdings Inc. (BKNG) [ST]	P	11/05/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Cincinnati Financial Corporation (CINF) [ST]	S (partial)	11/11/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	CoStar Group, Inc. (CSGP) [ST]	S (partial)	11/22/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	HSBC Holdings, plc. (HSBC) [ST]	P	11/27/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	IBERIABANK Corporation (IBKC) [ST]	S	11/01/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Intercontinental Exchange Inc. (ICE) [ST]	S (partial)	11/05/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Lonza Group Ag Zuerich Un-sponsored ADR (LZAGY) [ST]	S (partial)	11/27/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Microsoft Corporation (MSFT) [ST]	S (partial)	11/19/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 12/20/2019	P	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$130; Expires 12/20/2019	P	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$130; Expires 04/17/2020	S	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$130; Expires 01/17/2020	P	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$130; Expires 02/21/2020	S	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 03/20/2020	S	11/18/2019	12/04/2019	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Morgan Stanley (MS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	11/01/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST] FILING STATUS: New	S	11/27/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	P	11/01/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Roche Holdings AG Basel American Depository Shares (RHHBY) [ST]	S (partial)	11/27/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Roper Technologies, Inc. (ROP) [ST]	S (partial)	11/14/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	P	11/27/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Seacoast Banking Corporation of Florida (SBCF) [ST]	P	11/01/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	ServiceNow, Inc. (NOW) [ST]	S (partial)	11/01/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	ServiceNow, Inc. (NOW) [ST]	S (partial)	11/12/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Tesla, Inc. (TSLA) [ST]	P	11/07/2019	12/04/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Visa Inc. (V) [ST]	S	11/26/2019	12/04/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Morgan Stanley - Portfolio Management (Owner: JT)  
LOCATION: US
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 12/09/2019