

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	P raditional IRA	11/04/2019	11/21/2019	\$15,001 - \$50,000	П
	SP	Ecolab Inc. (ECL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	P raditional IRA	11/04/2019	11/21/2019	\$1,001 - \$15,000	П
	SP	Elanco Animal Health Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - To DESCRIPTION: Maturity date is 8/28/202		11/01/2019 4.272%	11/21/2019	\$15,001 - \$50,000	П
	SP	Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tr	P raditional IRA	11/14/2019	11/21/2019	\$1,001 - \$15,000	П

 $[*] For the complete list of asset type abbreviations, please visit \underline{ {\tt https://fd.house.gov/reference/asset-type-codes.aspx.} \\$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{M}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 11/25/2019