



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/04/2019	11/21/2019	\$701.19	<input type="checkbox"/>
SP		Ecolab Inc. (ECL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/04/2019	11/21/2019	\$572.16	<input type="checkbox"/>
SP		IHS Markit Ltd [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Maturity date is 5/01/2024, interest rate is 3.625%	P	11/14/2019	11/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/14/2019	11/21/2019	\$71.29	<input type="checkbox"/>
SP		Sunrun Inc. (RUN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	11/15/2019	11/22/2019	\$117.67	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

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## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 11/25/2019