



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/31/2019	11/06/2019	\$15,001 - \$50,000	<input type="checkbox"/>
SP	CDW LLC/CDW FIN [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 5.5% interest, maturity date is 12/01/2024	P	10/24/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/09/2019	11/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Ecolab Inc. (ECL) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/29/2019	11/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Qiagen N.V. Common Shares (QGEN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	10/08/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

### **INITIAL PUBLIC OFFERINGS**

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 11/08/2019