

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

| Name:           | Hon. Suzan K. DelBene |
|-----------------|-----------------------|
| Status:         | Member                |
| State/District: | WA01                  |

## TRANSACTIONS

| ID | Owner | Asset   | Transaction<br>Type | Date       | Notification<br>Date | Amount                     | Cap.<br>Gains ><br>\$200? |
|----|-------|---|---------------------|------------|----------------------|----------------------------|---------------------------|
|    | JT    | Charleston CNTY S C SCH Dist<br>4.00% Due 05/13/20 [GS]<br>Filing Status: New                                 | Р                   | 10/02/2019 | 10/02/2019           | \$250,001 -<br>\$500,000   | Π                         |
|    | JT    | Fairfax CNTY VA 4.00% Due<br>10/01/19 [GS]<br>Filing Status: New<br>Description: Redemption                   | S                   | 10/01/2019 | 10/01/2019           | \$250,001 -<br>\$500,000   | Γ                         |
|    | SP    | Manning & Napier, Inc. Class A<br>(MN) [ST]<br>Filing Status: New<br>Description: Gift of shares to Global Pa | S (partial)         | 10/25/2019 | 10/25/2019           | \$100,001 -<br>\$250,000   |                           |
|    | JT    | Maryland ST Dept TR 5.00% Due<br>10/01/26 [GS]<br>Filing Status: New  | Р                   | 10/21/2019 | 10/21/2019           | \$500,001 -<br>\$1,000,000 | Γ                         |
|    | JT    | Maryland ST GO ST Local Facs<br>5.00% Due 03/15//27 [GS]<br>Filing Status: New                                | Р                   | 10/04/2019 | 10/04/2019           | \$15,001 -<br>\$50,000     |                           |
|    | JT    | Maryland ST GO ST Local Facs<br>5.00% Due 03/15/27 [GS]<br>Filing Status: New                                 | Р                   | 10/10/2019 | 10/10/2019           | \$15,001 -<br>\$50,000     | Π                         |
|    | JT    | N East Isd TX 5.00% Due<br>08/01/23 [GS]  | Р                   | 10/04/2019 | 10/04/2019           | \$15,001 -<br>\$50,000     |                           |

| ID | Owner |   | Transaction<br>Type | Date       | Notification<br>Date | Amount                   | Cap.<br>Gains ><br>\$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------------|---------------------------|
|    |       | FILING STATUS: New  |                     |            |                      |                          |                           |
|    | JT    | University Minn GO Ref BDS<br>Regents Ser. 5.00% Due 10/01/25<br>[GS]<br>Filing Status: New | Р                   | 10/03/2019 | 10/03/2019           | \$250,001 -<br>\$500,000 |                           |

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

## **INITIAL PUBLIC OFFERINGS**

• Yes • No

## **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Suzan K. DelBene , 11/14/2019