

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## **FILER INFORMATION**

| Name:           | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status:         | Member                 |
| State/District: | CA47                   |

### TRANSACTIONS

| ID | Owner | Asset   | Transaction<br>Type | Date       | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------------|
|    | SP    | Vivint Solar, Inc. (VSLR) [ST]  | Р                   | 10/01/2019 | 10/07/2019           | \$1,001 - \$15,000 |                           |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA |                     |            |                      |                    |                           |

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

# ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

#### **INITIAL PUBLIC OFFERINGS**

• Yes • No

## **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 10/09/2019