



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Ares Capital Corporation - Closed End Fund (ARCC) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	08/28/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Ares Capital Corporation - Closed End Fund (ARCC) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: I still own three shares.	S (partial)	08/29/2019	09/21/2019	\$56.18	<input type="checkbox"/>
SP	Expedia Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Interest rate is 4.5%, maturity date is 8/15/2024	P	09/09/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	09/12/2019	09/21/2019	\$534.75	<input type="checkbox"/>
SP	Qiagen N.V. Common Shares (QGEN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	09/13/2019	09/21/2019	\$135.55	<input type="checkbox"/>
SP	Qiagen N.V. Common Shares (QGEN) [ST]	P	09/12/2019	09/21/2019	\$168.81	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP		SPDR Gold Trust (GLD) [ST]	P	09/12/2019	09/21/2019	\$424.33	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP		SPDR Gold Trust (GLD) [ST]	P	09/04/2019	09/21/2019	\$584.56	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 09/21/2019