



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Fidelity National Information Services, Inc. (FIS) [ST]	P	09/13/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Microsoft Corporation (MSFT) [ST]	P	09/20/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		Qiagen N.V. Common Shares (QGEN) [ST]	P	09/13/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		SPDR Gold Trust (GLD) [ST]	P	09/04/2019	09/21/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		SPDR Gold Trust (GLD) [ST]	P	09/13/2019	09/21/2019	\$848.91	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		SPDR S&P 500 (SPY) [ST]	S (partial)	09/13/2019	09/21/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		SPDR S&P 500 (SPY) [ST]	S (partial)	09/16/2019	09/21/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual					
SP		SPDR S&P 500 (SPY) [ST]	S (partial)	09/20/2019	09/21/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: I believe that I still own 3 shares.					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/21/2019