



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Autodesk, Inc. (ADSK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/22/2019	08/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/16/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	BioMarin Pharmaceutical Inc. (BMRN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/15/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	BioMarin Pharmaceutical Inc. (BMRN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	08/14/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Elanco Animal Health Incorporated (ELAN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/20/2019	08/29/2019	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Vistra Energy Corp. (VST) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/15/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Vistra Energy Corp. (VST) [ST]		S (partial)	08/14/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]		P	08/14/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]		P	08/09/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]		P	08/12/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]		P	08/13/2019	08/29/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
SP	Vivint Solar, Inc. (VSLR) [ST]		P	08/15/2019	08/29/2019	\$367.41	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/01/2019