



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	07/22/2019	08/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Citizens Finl Group Var Rt Prep Mat Dtd 05/24/18 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	07/22/2019	08/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017 [CS] FILING STATUS: New DESCRIPTION: Corporate bond	S	07/15/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	HD Supply Holdings, Inc. (HDS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	07/25/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Huntington Bancshares VAR RT 07/15/2167 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	07/15/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Huntington Bancshares VAR RT 07/15/2167 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	07/22/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	M&T BK Corp VAR RT Perp Mat DTD 07/30/19 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	07/23/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Quest Diagnostics Incorporated (DGX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	07/31/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Red Hat, Inc. (RHT) [ST] FILING STATUS: New DESCRIPTION: Cash merger. Acquired by IBM in exchange for cash.	E	07/10/2019	08/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 08/21/2019