



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	3M Company (MMM) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Abbott Laboratories (ABT) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Albemarle Corporation (ALB) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Alliance Data Systems Corporation (ADS) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Athene Holding Ltd PFD 6.35% [CS] FILING STATUS: New DESCRIPTION: Preferred Security	P	06/05/2019	07/10/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Biogen Inc. (BIIB) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	06/05/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	06/06/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	06/07/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cardinal Health, Inc. (CAH) [ST] FILING STATUS: New	S	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	CenturyLink, Inc. (CTL) [ST] FILING STATUS: New	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	06/11/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Charles Schwab Corp 03/01/2166 dtd 10/31/2016 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	06/06/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Charles Schwab Corp PFD 5.95% [CS] FILING STATUS: New DESCRIPTION: Preferred Security	P	06/17/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corporation (SCHW) [ST] FILING STATUS: New	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	CVS Health Corporation (CVS) [ST] FILING STATUS: New	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Dow Inc. (DOW) [ST] FILING STATUS: New	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	DXC Technology Company (DXC) [ST]	S	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017 [CS]	S (partial)	06/18/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Corporate Bond					
	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017 [CS]	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Corporate Bond					
	FedEx Corporation (FDX) [ST]	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Gap, Inc. (GPS) [ST]	S	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Goldman Sachs Group Inc Var RT 11/10/2165 dtd 7/27/2016 [CS]	S	06/12/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	Goldman Sachs Group Inc Var RT Perp Mat dtd 06/17/2019 [CS]	P	06/12/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	Goldman Sachs Group, Inc Var Rt 05/10/2166 dtd 11/01/2017 [CS]	S (partial)	06/05/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	HD Supply Holdings, Inc. (HDS) [ST]	P	06/03/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	HD Supply Holdings, Inc. (HDS) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	L Brands, Inc. (LB) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	Lincoln CNTY NC REF Unlimited Tax 5.0%; Due 06/01/24 [GS]	P	06/20/2019	07/10/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	Linde plc Ordinary Share (LIN) [ST]	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Macy's Inc (M) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Medtronic plc. Ordinary Shares (MDT) [ST]	S (partial)	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	S (partial)	06/11/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Newell Brands Inc. (NWL) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Newmont Goldcorp Corporation (NEM) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Nisource Inc PFD 6.5% [CS]	S	06/05/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Preferred Security					
	Northern AZ Univ Ref-Sys 5.0%; Due 06/01/19 [GS]	S	06/20/2019	07/10/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	Oracle Corporation (ORCL) [ST]	S (partial)	06/04/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Oracle Corporation (ORCL) [ST]	S	06/05/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Pepsico, Inc. (PEP) [ST]	S (partial)	06/07/2019	07/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Philip Morris International Inc (PM) [ST]	S	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PNC Financial Services VAR RT 12/31/2049 dtd 05/07/2013 [CS]	S (partial)	06/19/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	The Kraft Heinz Company (KHC) [ST]	P	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	UnitedHealth Group Incorporated Common Stock (UNH) [ST]	P	06/07/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Voya Financial Inc PFD 5.35% [CS]	P	06/11/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	Voya Financial Inc PFD 5.35% [CS]	P	06/19/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred Security					
	Westrock Company (WRK) [ST]	S	06/20/2019	07/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 07/11/2019