

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Steve Cohen

Status: Member **State/District:** TN09

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		American Airlines Group, Inc. (AAL) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	✓
		Aptevo Therapeutics Inc. (APVO) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$470.99	V
		Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$15,001 - \$50,000	V
		Carnival Corporation (CCL) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	V
		Charter Communications, Inc Class A (CHTR) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	V
		Duke Energy Corporation (DUK) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	V

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		Enbridge Inc (ENB) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	<u>~</u>
		HCP, Inc. (HCP) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	▽
		International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Stephens SEP IRA	S	06/24/2019	07/03/2019	\$1,001 - \$15,000	V

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Stephens SEP IRA

INITIAL PUBLIC OFFERINGS

C Yes C No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Steve Cohen, 07/03/2019