



Filing ID #20011928

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Bank America Corp [CS]	P	06/12/2019	06/28/2019	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New							
SUBHOLDING OF: Neuberger Berman - Individual							
DESCRIPTION: Maturity date is 10/01/2021, interest rate is 2.328%							
	SP	General Mls Inc [CS]	P	06/19/2019	06/28/2019	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New							
SUBHOLDING OF: Neuberger Berman - Municipal Bonds							
DESCRIPTION: Maturity date is 4/16/2021, interest rate is 3.2%							
	SP	SPDR S&P 500 (SPY) [ST]	P	06/20/2019	07/02/2019	\$295.26	<input type="checkbox"/>
FILING STATUS: New							
SUBHOLDING OF: Neuberger Berman - Roth IRA							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)
LOCATION: US
- o Neuberger Berman - Municipal Bonds (Owner: SP)
LOCATION: US
- o Neuberger Berman - Roth IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 07/03/2019