

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Air Products and Chemicals, Inc. (APD) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: I still own some of this sto		06/04/2019	06/12/2019	\$15,001 - \$50,000	
	SP	Alphabet Inc Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: I still own some of this sto		06/03/2019	06/12/2019	\$1,001 - \$15,000	
	SP	CBS Outdoor Amers [CS]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: Maturity date is 2/15/202		05/31/2019 5.625%	06/12/2019	\$15,001 - \$50,000	
	SP	Facebook, Inc Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: I still own some of this sto		06/04/2019	06/12/2019	\$1,001 - \$15,000	П
	SP	Nutanix, Inc Class A (NTNX) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T DESCRIPTION: Some of this stock was als		05/29/2019 ttlement date f		\$1,001 - \$15,000 30.	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?		
	SP	Red Hat, Inc. (RHT) [ST]	S	06/03/2019	06/12/2019	\$15,001 - \$50,000			
		FILING STATUS: New							
		Subholding Of: Neuberger Berman - Traditional IRA							

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

## ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes No

### CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 06/12/2019