

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

### **FILER INFORMATION**

Name:Hon. Dean PhillipsStatus:MemberState/District:MN03

### TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		<b>3M Company (MMM)</b> [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		ABIOMED, Inc. (ABMD) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		Accenture plc Class A Ordinary Shares (ACN) [ST] Filing Status: New Subholding Of: - Trust 1	S (partial)	05/03/2019	06/07/2019	\$1,001 - \$15,000	V
		Activision Blizzard, Inc (ATVI) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Albemarle Corporation (ALB) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		American International Group, Inc. New (AIG) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	V
		Arconic Inc. (ARNC) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		AT&T Inc. (T) [ST]	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New					
		Biogen Inc. (BIIB) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		CenturyLink, Inc. (CTL) [ST]	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					
		CenturyLink, Inc. (CTL) [ST]	S (partial)	05/16/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		CenturyLink, Inc. (CTL) [ST]	S	05/30/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		CenturyLink, Inc. (CTL) [ST]	S (partial)	05/21/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		CenturyLink, Inc. (CTL) [ST]	S	05/29/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Chubb Limited (CB) [ST]	Р	05/02/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Cognizant Technology Solutions	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	Γ
		Corporation - Class A (CTSH) [ST] Filing Status: New					
		CVS Health Corporation (CVS) [ST]	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					
		DaVita Inc. (DVA) [ST]	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					
		Dow Inc. (DOW) [ST]	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		E*TRADE Financial Corporation (ETFC) [ST] Filing Status: New	S (partial)	05/03/2019	06/07/2019	\$1,001 - \$15,000	F
		Facebook, Inc Class A (FB) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		Fox Corporation - Class A (FOXA) [ST] Filing Status: New	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		Gilead Sciences, Inc. (GILD) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Ingersoll-Rand plc (IR) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	V
		LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Mattel, Inc. (MAT) [ST] Filing Status: New	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		McKesson Corporation (MCK) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Medtronic plc. Ordinary Shares (MDT) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Mohawk Industries, Inc. (MHK) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Newell Brands Inc. (NWL) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		NiSource Inc (NI) [ST] Filing Status: New	S (partial)	05/28/2019	06/07/2019	\$1,001 - \$15,000	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
			Р	05/03/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Omnicom Group Inc. (OMC) [ST]	Р	05/07/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Oracle Corporation (ORCL) [ST]	S (partial)	05/02/2019	06/07/2019	\$1,001 - \$15,000	$\checkmark$
		FILING STATUS: New Subholding Of: - Trust 1					
		Oracle Corporation (ORCL) [ST]	S (partial)	05/03/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Philip Morris International Inc (PM) [ST]	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					
		Quest Diagnostics Incorporated (DGX) [ST]	Р	05/03/2019	06/07/2019	\$1,001 - \$15,000	
		Filing Status: New Subholding Of: - Trust 1					
		Regeneron Pharmaceuticals, Inc. (REGN) [ST]	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		FILING STATUS: New					
		Stanley Black & Decker, Inc. (SWK) [ST]	S (partial)	05/16/2019	06/07/2019	\$1,001 - \$15,000	<b>V</b>
		Filing Status: New Subholding Of: - Trust 1					
		Stanley Black & Decker, Inc. (SWK) [ST]	S (partial)	05/21/2019	06/07/2019	\$1,001 - \$15,000	$\checkmark$
		Filing Status: New Subholding Of: - Trust 1					
		Stanley Black & Decker, Inc. (SWK) [ST]	S (partial)	05/22/2019	06/07/2019	\$1,001 - \$15,000	$\checkmark$
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: - Trust 1					
		Stanley Black & Decker, Inc. (SWK) [ST] Filing Status: New Subholding Of: - Trust 1	S (partial)	05/23/2019	06/07/2019	\$1,001 - \$15,000	V
		Stanley Black & Decker, Inc. (SWK) [ST] Filing Status: New Subholding Of: - Trust 1	S (partial)	05/24/2019	06/07/2019	\$1,001 - \$15,000	E
		The Kraft Heinz Company (KHC) [ST] Filing Status: New	Р	05/13/2019	06/07/2019	\$15,001 - \$50,000	Π
		UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New	Ρ	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		Walgreens Boots Alliance, Inc. (WBA) [ST] FILING STATUS: New	S	05/13/2019	06/07/2019	\$1,001 - \$15,000	Π
		Walt Disney Company (DIS) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	
		Wells Fargo & Company (WFC) [ST] Filing Status: New	S (partial)	05/13/2019	06/07/2019	\$1,001 - \$15,000	Γ

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

# ASSET CLASS DETAILS

• - Trust 1 (100% Interest)

## **INITIAL PUBLIC OFFERINGS**

• Yes • No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 06/13/2019