



Filing ID #20011805

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Scott H. Peters**Status:** Member**State/District:** CA52

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	CA St Dept Wtr Res Power Ser O [GS] FILING STATUS: New	P	05/23/2019	05/31/2019	\$250,001 - \$500,000	<input type="checkbox"/>
SP	Enterprise CA Elem Sch Dist Rfdg - 2029 [GS] FILING STATUS: New	P	05/20/2019	05/31/2019	\$500,001 - \$1,000,000	<input type="checkbox"/>
SP	Enterprise CA Elem Sch Dist Rfdg - 2030 [GS] FILING STATUS: New	P	05/20/2019	05/31/2019	\$250,001 - \$500,000	<input type="checkbox"/>
SP	Rand Capital Corporation - Common Stock (RAND) [ST] FILING STATUS: New	S (partial)	05/17/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Rand Capital Corporation - Common Stock (RAND) [ST] FILING STATUS: New	S (partial)	05/20/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Rand Capital Corporation - Common Stock (RAND) [ST] FILING STATUS: New	S (partial)	05/21/2019	05/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Rand Capital Corporation - Common Stock (RAND) [ST] FILING STATUS: New	S (partial)	05/22/2019	05/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Rand Capital Corporation - Common Stock (RAND) [ST]		S (partial)	05/23/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New						
SP	Rand Capital Corporation - Common Stock (RAND) [ST]		S (partial)	05/24/2019	05/31/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New						
SP	Rand Capital Corporation - Common Stock (RAND) [ST]		S (partial)	05/28/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New						
SP	University of CA Rev. Bonds [GS]		S	05/15/2019	05/31/2019	\$500,001 - \$1,000,000	<input type="checkbox"/>
	FILING STATUS: New						

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott H. Peters , 06/18/2019