



Filing ID #20011704

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|---|------------------|------------|-------------------|--------------------|--------------------------|
| SP | Black Knight, Inc. (BKI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual | P | 05/01/2019 | 05/19/2019 | \$821.14 | <input type="checkbox"/> |
| SP | Black Knight, Inc. (BKI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: I bought some on 5/1/19 also. | P | 05/02/2019 | 05/19/2019 | \$274.66 | <input type="checkbox"/> |
| SP | Black Knight, Inc. (BKI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: I bought some on 5/1 and 5/2 also. | P | 05/03/2019 | 05/19/2019 | \$274.80 | <input type="checkbox"/> |
| SP | Citigroup Inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 7/30/2022, interest rate is 4.05% | P | 05/17/2019 | 05/19/2019 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SP | INS Markit [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date is 5/1/2024, interest rate is 3.625% | P | 05/17/2019 | 05/19/2019 | \$1,001 - \$15,000 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Neuberger Berman - Individual (Owner: SP)
LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/19/2019