



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/16/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Bank of America Corp Var RT 03/05/2166 dtd 09/05/2014 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Bank of New York Mellon Corporation (BK) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Berkshire Hathaway Inc. New (BRK.B) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	CenturyLink, Inc. (CTL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar Tree, Inc. (DLTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/23/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/23/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	eBay Inc. (EBAY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Expeditors International of Washington, Inc. (EXPD) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Fox Corporation - Class A (FOXA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Fox Corporation - Class B (FOX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Franklin Resources, Inc. (BEN)	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	[ST] FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Goldman Sachs Group Inc Var RT 05/10/2166 dtd 11/01/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/09/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Honeywell International Inc. (HON) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Louisville & Jefferson County KY Met Gov Ser A [GS] FILING STATUS: New DESCRIPTION: Coupon Rate 5%; Matures 12/01/2024	P	04/04/2019	05/15/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Masco Corporation (MAS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Nextera Energy Capital VAR RT 05/01/2079 DTD 04/04/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Nisource Inc PFD 6.5% [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/05/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Nisource Inc. PFD 6.5% [CS]	S (partial)	04/16/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New DESCRIPTION: Preferred security					
	Nutrien Ltd. Common Shares (NTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Omnicom Group Inc. (OMC) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Oracle Corporation (ORCL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	PACCAR Inc. (PCAR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	PPG Industries, Inc. (PPG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Quest Diagnostics Incorporated (DGX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Regions Financial Corp PFD 5.7% [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	04/23/2019	05/15/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Schlumberger N.V. (SLB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	SLM Corp Var Rate PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Smith & Nephew SNATS, Inc. (SNN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Stanley Black & Decker, Inc. Corp Unit 2013 (SWH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Vodafone Group PLC VAR RT 04/04/2019 DTD 04/04/2019 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	04/04/2019	05/15/2019	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 05/21/2019