



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer

**Status:** Member

**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/08/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Allianz SE ADS (AZSEY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/05/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/30/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/05/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/05/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Bayer Aktiengesellschaft American Depository Shares (BAYRY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/11/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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JT	Delta Air Lines, Inc. (DAL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	EPAM Systems, Inc. (EPAM) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	04/12/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/05/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	General Dynamics Corporation (GD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Globant S.A. Common Shares (GLOB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Hasbro, Inc. (HAS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Honeywell International Inc. (HON) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Intuitive Surgical, Inc. (ISRG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/15/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	MarketAxess Holdings, Inc. (MKTX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/29/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST]	P	04/26/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Microsoft Corporation (MSFT) [ST]	P	04/30/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Microsoft Corporation (MSFT) [OP]	S	04/25/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$135; Expires 06/21/2019					
JT	Microsoft Corporation (MSFT) [OP]	P	04/16/2019	05/09/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$115; Expires 04/18/2019					
JT	Microsoft Corporation (MSFT) [OP]	S	04/16/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$130; Expires 7/19/2019					
JT	Microsoft Corporation (MSFT) [OP]	S	04/16/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$125; Expires 7/19/2019					
JT	Microsoft Corporation (MSFT) [OP]	S	04/16/2019	05/09/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$125; Expires 10/18/2019					
JT	Microsoft Corporation (MSFT) [OP]	S	04/16/2019	05/09/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price 125; Expires 9/20/2019					
JT	Microsoft Corporation (MSFT) [OP]	P	04/16/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$120; Expires 4/26/2019					
JT	Microsoft Corporation (MSFT) [OP]	P	04/16/2019	05/09/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$120; Expires 6/21/2019					
JT	Microsoft Corporation (MSFT) [OP]	S	04/09/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$121; Expires 5/24/2019					
JT	Microsoft Corporation (MSFT) [OP]	P	04/09/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management DESCRIPTION: Call options; Strike Price \$117; Expires 4/12/2019					
JT	NewMarket Corp (NEU) [ST]	S (partial)	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Norfolk Southern Corporation (NSC) [ST]	P	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	Novanta Inc. - Common Shares (NOVT) [ST]	P	04/15/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	PACCAR Inc. (PCAR) [ST]	S (partial)	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT	QUALCOMM Incorporated (QCOM) [ST]	P	04/17/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					

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JT	Rio Tinto Plc (RIO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/10/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	S&P Global Inc. (SPGI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/29/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	SGS SA ADR (SGSOY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/05/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	SGS SA ADR (SGSOY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/08/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Starbucks Corporation (SBUX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/24/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Texas Instruments Incorporated (TXN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/01/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Twilio Inc. Class A (TWLO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	P	04/30/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>
JT	UnitedHealth Group Incorporated Common Stock (UNH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2	S (partial)	04/11/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Vertex Pharmaceuticals Incorporated (VRTX) [ST]	S (partial)	04/11/2019	05/09/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT		Visa Inc. (V) [ST]	S (partial)	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					
JT		Worldpay, Inc. Class A (WP) [ST]	S (partial)	04/18/2019	05/09/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 2					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Morgan Stanley - Portfolio Management (Owner: JT)  
LOCATION: US
- o Morgan Stanley - Select UMA Account # 2 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 05/20/2019