



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Alcon Inc. Ordinary Shares (ALC) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	04/15/2019	04/22/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Goldman Sachs Group, Inc. (GS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	04/15/2019	04/22/2019	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Intercontinental Exchange Inc. (ICE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/15/2019	04/22/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Weyerhaeuser Company (WY) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/16/2019	04/22/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Weyerhaeuser Company (WY) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/17/2019	04/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 04/28/2019