

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

| Name:           | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status:         | Member                 |
| State/District: | CA47                   |

#### TRANSACTIONS

| ID | Owner | Asset  | Transaction<br>Type                  | Date       | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|--|--------------------------------------|------------|----------------------|--------------------|---------------------------|
|    | SP    | IHS Markit Ltd Common Shares<br>(INFO) [ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T<br>Description: The rest was sold on 3/28 | raditional IRA                       | 03/27/2019 | 04/16/2019           | \$1,001 - \$15,000 |                           |
|    | SP    | IHS Markit Ltd Common Shares<br>(INFO) [ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T   |                                      | 03/28/2019 | 04/16/2019           | \$1,001 - \$15,000 | Π                         |
|    | SP    | Nutanix, Inc Class A (NTNX)<br>[ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T   | P<br>raditional IRA                  | 03/21/2019 | 04/16/2019           | \$1,001 - \$15,000 |                           |
|    | SP    | Sempra Energy (SRE) [ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T  | <b>S (partial)</b><br>raditional IRA | 03/27/2019 | 04/16/2019           | \$1,001 - \$15,000 | Π                         |
|    | SP    | Sempra Energy (SRE) [ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T  | <b>S (partial)</b><br>raditional IRA | 03/27/2019 | 04/16/2019           | \$1,001 - \$15,000 |                           |
|    | SP    | Sempra Energy (SRE) [ST]<br>Filing Status: New<br>Subholding Of: Neuberger Berman - T  | <b>S (partial)</b><br>raditional IRA | 03/27/2019 | 04/16/2019           | \$1,001 - \$15,000 | Γ                         |

| ID | Owner | Asset   | Transaction<br>Type | Date       | Notification<br>Date | Amount             | Cap.<br>Gains ><br>\$200? |
|----|-------|---|---------------------|------------|----------------------|--------------------|---------------------------|
|    | SP    | Sempra Energy (SRE) [ST]                                  | S (partial)         | 03/27/2019 | 04/16/2019           | \$1,001 - \$15,000 |                           |
|    |       | Filing Status: New<br>Subholding Of: Neuberger Berman - T | raditional IRA      |            |                      |                    |                           |

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

# ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

#### **INITIAL PUBLIC OFFERINGS**

• Yes • No

# **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 04/20/2019