



Filing ID #20011508

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	CIT group inc [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Maturity date is 8/15/2022, interest rate is 5.0%	P	04/05/2019	04/16/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	IHS Markit Ltd. - Common Shares (INFO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: It was all sold today.	S	03/28/2019	04/16/2019	\$266.92	<input type="checkbox"/>
SP	IHS Markit Ltd. - Common Shares (INFO) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: The rest of this stock was sold on 3/28/19.	S (partial)	03/27/2019	04/16/2019	\$368.78	<input type="checkbox"/>
SP	Nutanix, Inc. - Class A (NTNX) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/21/2019	04/16/2019	\$378.74	<input type="checkbox"/>
SP	Sempra Energy (SRE) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	03/27/2019	04/16/2019	\$250.41	<input type="checkbox"/>
SP	Sempra Energy (SRE) [ST]	S (partial)	03/27/2019	04/16/2019	\$125.20	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							
	SP	Sempra Energy (SRE) [ST]	S (partial)	03/27/2019	04/16/2019	\$125.20	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							
	SP	Sempra Energy (SRE) [ST]	S (partial)	03/27/2019	04/16/2019	\$250.40	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: I still own some of this stock.							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 04/20/2019