



Filing ID #20011456

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Scott H. Peters**Status:** Member**State/District:** CA52

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Lynett Capital Partners II LP [OI]	P		03/18/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New DESCRIPTION: Pooled Investment Fund/Venture Capital					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/01/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/04/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/05/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/06/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/07/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New					
SP	Rand Capital Corporation - Common Stock (RAND) [ST]	S (partial)		03/08/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	U.S. Treasury Bills [GS]	S (partial)	03/28/2019	03/31/2019	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New					
	SP	U.S. Treasury Bills [GS]	S (partial)	03/14/2019	03/31/2019	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New					
	SP	U.S. Treasury Bills [GS]	S (partial)	03/28/2019	03/31/2019	\$100,001 - \$250,000	<input type="checkbox"/>
		FILING STATUS: New					
	SP	U.S. Treasury Bills [GS]	P	03/18/2019	03/31/2019	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Scott H. Peters , 04/14/2019