



Filing ID #20011447

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips**Status:** Member**State/District:** MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	3M Company (MMM) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Activision Blizzard, Inc (ATVI) [ST] FILING STATUS: New	S (partial)	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Brown Forman Inc Class B (BF.B) [ST] FILING STATUS: New	S (partial)	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cerner Corporation (CERN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/15/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/27/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cigna Corporation (CI) [ST] FILING STATUS: New	S (partial)	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	ConAgra Brands, Inc. (CAG) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Coty Inc. Class A (COTY) [ST]	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	Danaher Corporation (DHR) [ST]	S (partial)	03/06/2019	04/11/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Digital Realty Trust, Inc. (DLR) [ST]	S	03/04/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Duke Energy Corp PFD (DUK PA) [CS]	P	03/25/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Preferred Security					
	Fox Corporation - Class A (FOXA) [ST]	S	03/19/2019	04/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Formerly known as Twenty-First Century Fox-A (FOXA).					
	Fox Corporation - Class A (FOXA) [ST]	P	03/13/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Fox Corporation - Class A (FOXA) [ST]	P	03/21/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Fox Corporation - Class A (FOXA) [ST]	P	03/25/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Fox Corporation - Class A (FOXA) [ST]	P	03/26/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	SUBHOLDING OF: - Trust 1					
	Fox Corporation - Class A (FOXA) [ST]	P	03/19/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Fox Corporation - Class B (FOX)	S	03/20/2019	04/10/2019	\$15,001 -	<input checked="" type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		[ST] FILING STATUS: New SUBHOLDING OF: - Trust 1 DESCRIPTION: Formerly known as Twenty-First Century Fox, Inc. - Class B (FOX)				\$50,000	
		Goldman Sachs Group Inc Var RT 05/10/2166 DTD 11/01/2017 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	S (partial)	03/20/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		Mosaic Company (MOS) [ST] FILING STATUS: New	S (partial)	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		Nisource Inc PFD 6.5% [CS] FILING STATUS: New DESCRIPTION: Preferred Security	S (partial)	03/11/2019	04/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		NVIDIA Corporation (NVDA) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		Philip Morris International Inc (PM) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		PNC Financial Services VAR RT [CS] FILING STATUS: New DESCRIPTION: Corporate Bond Dated 05/07/2013; Maturity Date 12/31/2049	S (partial)	03/14/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		PPG Industries, Inc. (PPG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/15/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/18/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/26/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	The Kraft Heinz Company (KHC) [ST] FILING STATUS: New	S	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Twenty-First Century Fox, Inc. - Class A (TFCFA) [ST] FILING STATUS: New	P	03/19/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/18/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Unilever PLC (UL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	03/15/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Walt Disney Company (DIS) [ST] FILING STATUS: New DESCRIPTION: Shares received in exchange for Twenty-First Century Fox, Inc. Class A (TFCFA) as a result of merger.	E	03/20/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Wells Fargo & Company Var RT 12/15/2165 DTD 04/22/2014 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	S (partial)	03/26/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Westrock Company (WRK) [ST] FILING STATUS: New	P	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Weyerhaeuser Company (WY) [ST] FILING STATUS: New	S	03/06/2019	04/10/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 04/16/2019