



Filing ID #20011339

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Elanco Animal Health Incorporated (ELAN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: My Eli Lilly shares became Elanco. It was an internal corporate action.	E	03/06/2019	03/18/2019	\$58.41	<input type="checkbox"/>
SP		Vistra Energy Corp. (VST) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/12/2019	03/19/2019	\$412.57	<input type="checkbox"/>
SP		Vistra Energy Corp. (VST) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: I bought some of this on 3/12/19 too.	P	03/13/2019	03/20/2019	\$366.08	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/23/2019