



Filing ID #20011310

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Activision Blizzard, Inc (ATVI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S	02/28/2019	03/18/2019	\$757.25	<input type="checkbox"/>
SP		Black Knight, Inc. (BKI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/01/2019	03/18/2019	\$210.06	<input type="checkbox"/>
SP		Black Knight, Inc. (BKI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/04/2019	03/18/2019	\$157.26	<input type="checkbox"/>
SP		Black Knight, Inc. (BKI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	03/05/2019	03/18/2019	\$104.55	<input type="checkbox"/>
SP		Cigna Corporation (CI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	S (partial)	03/05/2019	03/18/2019	\$681.76	<input type="checkbox"/>
SP		Eli Lilly and Company (LLY) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA	P	02/28/2019	03/18/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Red Hat, Inc. (RHT) [ST]	S	02/26/2019	03/18/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							
SP		UnitedHealth Group Incorporated Common Stock (UNH) [ST]	S	03/05/2019	03/18/2019	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							
SP		Universal Display Corporation (OLED) [ST]	S (partial)	02/25/2019	03/18/2019	\$147.24	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/18/2019