



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	AbbVie Inc. (ABBV) [ST] FILING STATUS: New	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Arconic Inc. (ARNC) [ST] FILING STATUS: New	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Cardinal Health, Inc. (CAH) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Centerpoint Energy Inc. SR Nts VAR RT DTD 8/22/18 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	02/22/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	CMS Energy Corp PFD 5.875% [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	02/06/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Coty Inc. Class A (COTY) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	CVS Health Corporation (CVS)	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	[ST] FILING STATUS: New					
	DaVita Inc. (DVA) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	DISH Network Corporation - Class A (DISH) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FedEx Corporation (FDX) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Ford Motor Company (F) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Franklin Resources, Inc. (BEN) [ST] FILING STATUS: New	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	International Business Machines Corporation (IBM) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Johnson Controls International plc Ordinary Share (JCI) [ST] FILING STATUS: New	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST] FILING STATUS: New	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Macy's Inc (M) [ST] FILING STATUS: New	S	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Madison AL Prerefunded-Warrants Unltd Tax 5.15% 02/01/2039 [GS] FILING STATUS: New	S	02/01/2019	03/07/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Mattel, Inc. (MAT) [ST]	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	MN St Ref- Ser D Unlimited Tax 5.0% 08/01/2024 dtd 08/11/2016 [GS]	P	02/05/2019	03/07/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	NVIDIA Corporation (NVDA) [ST]	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Oracle Corporation (ORCL) [ST]	S (partial)	02/12/2019	03/07/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Oracle Corporation (ORCL) [ST]	S (partial)	02/13/2019	03/07/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	PPG Industries, Inc. (PPG) [ST]	P	02/13/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	PPG Industries, Inc. (PPG) [ST]	P	02/14/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Public Storage PFD 5.6% [CS]	P	02/28/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Corporate Bond					
	QUALCOMM Incorporated (QCOM) [ST]	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Quest Diagnostics Incorporated (DGX) [ST]	P	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Synchrony Financial (SYF) [ST]	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Western Digital Corporation	S (partial)	02/04/2019	03/07/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner	Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?
		(WDC) [ST]			
		FILING STATUS: New			

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 03/21/2019