



Filing ID #20011192

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Autodesk, Inc. (ADSK) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	02/15/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Charter Communications, Inc. - Class A (CHTR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	02/19/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Charter Communications, Inc. - Class A (CHTR) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	02/20/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Dover Corporation (DOV) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	02/13/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	02/14/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Novartis AG (NVS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	02/15/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Service Corporation International (SCI) [ST]	S	02/13/2019	02/23/2019	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					
		SUBHOLDING OF: Neuberger Berman - Traditional IRA					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 02/23/2019