



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	3M Company (MMM) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	AbbVie Inc. (ABBV) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Activision Blizzard, Inc (ATVI) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Alexion Pharmaceuticals, Inc. (ALXN) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Align Technology, Inc. (ALGN) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Amazon.com, Inc. (AMZN) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	American Airlines Group, Inc. (AAL) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	American International Group, Inc. New (AIG) [ST]	P	01/04/2019	02/05/2019	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	Apple Inc. (AAPL) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Applied Materials, Inc. (AMAT) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	AT&T Inc. (T) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Bank of America Corporation (BAC) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	BB&T Corporation 5.85% PFD [CS]	P	01/09/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Preferred security					
	Becton, Dickinson and Company (BDX) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	BlackRock, Inc. (BLK) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Brighthouse Financial, Inc. (BHF) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Brown Forman Inc Class B (BF.B) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Capital One Financial Co Var Rt 12/01/2165 dtd 05/14/2015 [CS]	S (partial)	01/10/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Preferred security					
	Capital One Financial Co Var Rt 12/01/2165 dtd 05/14/2015 [CS]	S (partial)	01/18/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

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	DESCRIPTION: Preferred security					
	Caterpillar, Inc. (CAT) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Celgene Corporation (CELG) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	CenterPoint Energy, Inc (CNP) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Cigna Corporation (CI) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Cisco Systems, Inc. (CSCO) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Citigroup, Inc. (C) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Citizens Financial Group PFD 6.35% [CS]	P	01/22/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DESCRIPTION: Preferred security					
	Coty Inc. Class A (COTY) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Cummins Inc. (CMI) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Danaher Corporation (DHR) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	DENTSPLY SIRONA Inc. (XRAY) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Dollar General Corporation (DG) [ST]	S (partial)	01/14/2019	02/05/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/16/2019	02/05/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/18/2019	02/05/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/22/2019	02/05/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Dollar Tree, Inc. (DLTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/14/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Dollar Tree, Inc. (DLTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/15/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	eBay Inc. (EBAY) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Emerson Electric Company (EMR) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Facebook, Inc. - Class A (FB) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FedEx Corporation (FDX) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	Ford Motor Company (F) [ST] FILING STATUS: New	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Fortive Corporation (FTV) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Franklin Resources, Inc. (BEN) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Gap, Inc. (GPS) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	General Mills, Inc. (GIS) [ST] FILING STATUS: New	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Gilead Sciences, Inc. (GILD) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Goldman Sachs Group Inc Var RT 11/10/2165 dtd 7/27/2016 [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Goldman Sachs Group, Inc Var Tr 05/10/2166 dtd 11/01/2017 [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Hewlett Packard Enterprise Company (HPE) [ST] FILING STATUS: New	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Home Depot, Inc. (HD) [ST] FILING STATUS: New	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	HP Inc. (HPQ) [ST] FILING STATUS: New	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Illinois Tool Works Inc. (ITW) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	Intel Corporation (INTC) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	International Business Machines Corporation (IBM) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	International Paper Company (IP) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Intuit Inc. (INTU) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	IPG Photonics Corporation (IPGP) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Johnson Controls International plc Ordinary Share (JCI) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	JP Morgan Chase & Co. (JPM) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Lincoln National Corporation (LNC) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Linde plc Ordinary Share (LIN) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Macy's Inc (M) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Masco Corporation (MAS) [ST]	P	01/15/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Mattel, Inc. (MAT) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	McKesson Corporation (MCK) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	MetLife, Inc. (MET) [ST]	S (partial)	01/04/2019	02/05/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	Micron Technology, Inc. (MU) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Mondelez International, Inc. - Class A (MDLZ) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Mosaic Company (MOS) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	MyMeds, Inc. [OL]	P	01/26/2019	01/26/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Privately-Held Business Entities LOCATION: Minneapolis, MN, US DESCRIPTION: Medication non-adherence solutions developer					
	NetApp, Inc. (NTAP) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Newell Brands Inc. (NWL) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Nielsen N.V. Ordinary Shares (NLSN) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Oracle Corporation (ORCL) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New					
	PACCAR Inc. (PCAR) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Pepsico, Inc. (PEP) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Philip Morris International Inc (PM) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PNC Financial Services Group, Inc. (PNC) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PPG Industries, Inc. (PPG) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Prudential Financial, Inc. (PRU) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Roper Technologies, Inc. (ROP) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Seagate Technology PLC - Ordinary Shares (STX) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	State Street Corporation (STT) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Stryker Corporation (SYK) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Synchrony Financial (SYF) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	Synovus Financial Corp PFD 6.3% [CS]	S (partial)	01/17/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred security					
	The Kraft Heinz Company (KHC) [ST]	P	01/04/2019	02/05/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	TJX Companies, Inc. (TJX) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	U.S. Bancorp (USB) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Union Pacific Corporation (UNP) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	United Parcel Service, Inc. (UPS) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	UnitedHealth Group Incorporated Common Stock (UNH) [ST]	S (partial)	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	W.W. Grainger, Inc. (GWW) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Wells Fargo & Company (WFC) [ST]	S (partial)	01/04/2019	02/05/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					
	Western Digital Corporation (WDC) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Weyerhaeuser Company (WY) [ST]	P	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Xerox Corporation (XRX) [ST]	S	01/04/2019	02/05/2019	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New						

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Privately-Held Business Entities
LOCATION: US
- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 02/18/2019